

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Henry AZIMA et al.
Title: ACOUSTIC DEVICE AND
METHOD FOR DRIVING IT
Appl. No.: 09/834,960
Filing Date: 04/16/2001
Examiner: Unassigned
Art Unit: Unassigned



INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR §1.56

Commissioner for Patents
Washington, D.C. 20231

Sir:

Submitted herewith on Form PTO-1449 is a listing of documents known to Applicants in order to comply with Applicants' duty of disclosure pursuant to 37 CFR §1.56. A copy of each listed document is being submitted to comply with the provisions of 37 CFR §1.97 and §1.98.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* art reference against the claims of the present application.

TIMING OF THE DISCLOSURE

The listed documents are being submitted in compliance with 37 CFR §1.97(b), before the mailing date of the first Office Action on the merits.

RELEVANCE OF EACH DOCUMENT

The documents are discussed in the specification of the present application.

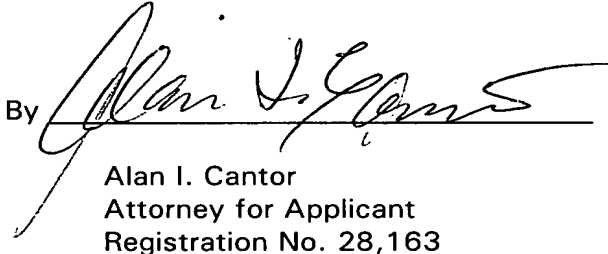
Applicants respectfully request that any listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO-1449 be returned in accordance with MPEP §609.

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Respectfully submitted,

Date AUG 07 2001

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Form PTO-1449 (MODIFIED)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. 085874-0316	SERIAL NO. 09/834,960
INFORMATION DISCLOSURE CITATION Date submitted to PTO: AUG 07 2001 <i>(Use several sheets if necessary)</i>		APPLICANT Henry AZIMA et al.	
		FILING DATE 04/16/2001	GROUP ART UNIT Unassigned

PATENT DOCUMENTS							
EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE IF APPROPRIATE
-	A1	6,192,136	2/20/2001	AZIMA et al.	381	338	

FOREIGN PATENT DOCUMENTS								
	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION	
	A2	WO 96/31086	10/3/1996	WIPO			YES	NO
	A3	WO 97/09842	3/13/1997	WIPO				

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)		
	A4	J. W. S. Rayleigh, The Theory of Sound, Appendix of Progressive Waves, Vol. 1, 2 nd Edition, 1980, Dover Publications Inc., pages 475-480.
	A5	Martin Colloms et al., "Distortion Mechanisms of Distributed Mode Loudspeakers," Proceedings of the 104th Acoustic Engineering Society Convention, New York, 1997.

EXAMINER	DATE CONSIDERED
* EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include any copy of this form with next communication to applicant.	